

# MAXAM ARBITRAGE FUND PROXY VOTING RECORD

July 1, 2022 – June 30, 2023

# MAXAM

CAPITAL MANAGEMENT

Company Name	Cusip	Meeting Date	Meeting Type	Record Date	Mgt Rec	Vote
NATUS MEDICAL INCORPORATED	639050	06-Jul-22	Special	31-May-22	For	For
B. RILEY PRINCIPAL 150 MERGER CORP.	05601V	15-Jul-22	Special	26-May-22	For	For
SERVICESTOURCE INTERNATIONAL INC	81763U	20-Jul-22	Special	13-Jun-22	For	For
NIELSEN HOLDINGS PLC	G6518L	09-Aug-22	Special	29-Jun-22	For	For
NIELSEN HOLDINGS PLC	G6518L	09-Aug-22	Court	29-Jun-22	For	For
GREAT BEAR ROYALTIES CORP.	39014B	31-Aug-22	Special	21-Jul-22	For	For
ZENDESK INC.	98936J	19-Sep-22	Special	04-Aug-22	For	For
1LIFE HEALTHCARE INC.	68269G	22-Sep-22	Special	22-Aug-22	For	For
SIERRA WIRELESS INC.	826516	27-Sep-22	Special	23-Aug-22	For	For
BIOHAVEN PHARMACEUTICAL HLDG CO LTD	G11196	29-Sep-22	Special	29-Aug-22	For	For
GLOBAL BLOOD THERAPEUTICS INC.	37890U	30-Sep-22	Special	24-Aug-22	For	For
EXTERRAN CORPORATION	30227H	11-Oct-22	Special	07-Sep-22	For	For
COVETRUS INC.	22304C	11-Oct-22	Special	07-Sep-22	For	For
AVALARA INC.	05338G	14-Oct-22	Special	08-Sep-22	For	For
HORIZON ACQUISITION CORP. II	G46044	17-Oct-22	Special	24-Aug-22	For	Against
PING IDENTITY HOLDING CORP	72341T	17-Oct-22	Special	12-Sep-22	For	For
IROBOT CORPORATION	462726	17-Oct-22	Special	02-Sep-22	For	For
SPIRIT AIRLINES INC.	848577	19-Oct-22	Special	12-Sep-22	For	For
RECIPE UNLIMITED CORPORATION	75622P	21-Oct-22	Special	14-Sep-22	For	For
EVO PAYMENTS INC.	26927E	26-Oct-22	Special	21-Sep-22	For	For
PZENA INVESTMENT MANAGEMENT INC.	74731Q	27-Oct-22	Special	16-Sep-22	For	For
TURQUOISE HILL RESOURCES LTD.	900435	01-Nov-22	Special	19-Sep-22	For	For
STONEMAR INC.	86184W	01-Nov-22	Special	15-Sep-22	For	For
STONEMOR INC	86184W	01-Nov-22	Special	15-Sep-22	For	For
HILL INTERNATIONAL INC.	431466	02-Nov-22	Special	29-Sep-22	For	For
NEXJ SYSTEMS INC.	65342B	02-Nov-22	Special	03-Oct-22	For	For

COMPUTER SERVICES INC.	20539A	09-Nov-22	Special	30-Sep-22	For	For
CHANNEL ADVISOR CORPORATION	159179	11-Nov-22	Special	10-Oct-22	For	For
COWEN INC.	223622	15-Nov-22	Special	29-Sep-22	For	For
ALTRA INDUSTRIAL MOTION CORP.	02208R	17-Jan-23	Special	08-Dec-22	For	For
YAMANA GOLD INC.	98462Y	31-Jan-23	Special	14-Dec-22	For	For
FRESHII INC.	35805P	08-Feb-23	Special	09-Jan-23	For	For
MIMI'S ROCK CORP.	55316N	16-Feb-23	Special	19-Dec-22	For	For
SMART EMPLOYEE BENEFITS INC.	83172K	21-Feb-23	Special	20-Jan-23	For	For
COUPA SOFTWARE INCORPORATED	22266L	23-Feb-23	Special	23-Jan-23	For	For
ATLAS CORP.	Y0436Q	24-Feb-23	Annual	09-Jan-23	For	For
NUVO PHARMACEUTICALS INC.	67092F	07-Mar-23	Special	23-Jan-23	For	For
MAXAR TECHNOLOGIES INC	57778K	19-Apr-23	Special	15-Mar-23	For	For
UNI-SELECT INC.	90457D	27-Apr-23	Special	13-Mar-23	For	For
VELAN INC.	922932	05-May-23	Special	27-Mar-23	For	For
INDUS REALTY TRUST INC	45580R	17-May-23	Special	13-Apr-23	For	For
HESKA CORPORATION	42805E	07-Jun-23	Special	02-May-23	For	For
RADIUS GLOBAL INFRASTRUCTURE, INC.	750481	15-Jun-23	Special	09-May-23	For	For
ABSOLUTE SOFTWARE CORPORATION	00386B	29-Jun-23	Special	23-May-23	For	For